UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

21 MC 102 (AKH)

IN RE LOWER MANHATTAN DISASTER SITE LITIGATION

(INSERT PLAINTIFF NAME HERE)

DOCKET NO.

Plaintiffs,

COMPLAINT BY ADOPTION (CHECK-OFF COMPLAINT) RELATED TO THE MASTER COMPLAINT

- against -

PLAINTIFF(S) DEMAND A TRIAL BY JURY

(SEE SECTION IV., PARTIES, WITHIN)

Defendants.

This Pro-forma Complaint by Adoption (Check-off) and the Master Complaint which it adopts is being filed pursuant to the Order Regulating Proceedings, Judge Alvin K. Hellerstein, June 4, 2007, as relates to 21 MC 102 (AKH). Guidelines and other directives relative to additional filings, amendments, corrections and other matters as relate to the individual Complaint by Adoption (Check-Off Complaint) to be filed by the individual plaintiffs, in accordance with said Order, will be addressed by the Court in a future CMO.

I.

INTRODUCTION

A Plaintiff-Specific Complaint by Adoption (Check-off Complaint), in the within format, is to be filed by each Plaintiff, and to be utilized and read in conjunction with the Master Complaint on file with the Court. Where applicable to the instant Plaintiff(s), specific paragraphs are to be marked with an "X," and specific case information is to be set forth, inserting said information in the blank space, if provided. If Plaintiff wishes to assert additional allegations, plaintiffs should follow the procedure as outlined in the CMO # 4 governing the filing of the Master Complaint and Check-off Complaints.

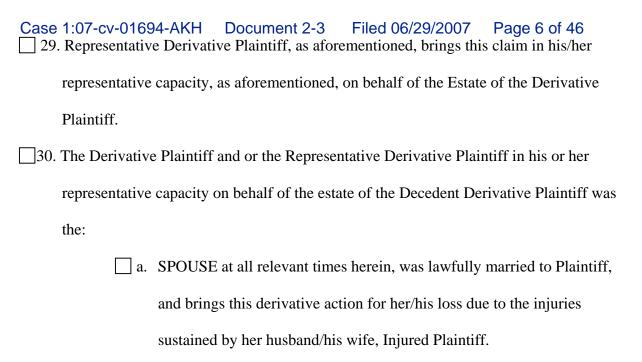
| Case 1:07-cv-01694-AKH Document 2-3 Filed 06/29/2007 Page 2 of 46 Plaintiffs, as captioned above, by his/her/their attorneys, complaining of Defendant(s), | | | | | | | |
|--|--|--|--|--|--|--|--|
| respectfully allege: | | | | | | | |
| 1. All headings, paragraphs, allegations and Causes of Action in the entire Master Complaint | | | | | | | |
| are applicable to and are adopted by the instant Plaintiff(s) as if fully set forth herein, in addition | | | | | | | |
| to those paragraphs specific to the individual Plaintiff(s), as alleged within the individual Check- | | | | | | | |
| off Complaint. | | | | | | | |
| 2. Plaintiffs adopt those allegations as set forth in the Master Complaint Section I, | | | | | | | |
| Introduction. | | | | | | | |
| п. | | | | | | | |
| JURISDICTION | | | | | | | |
| 3. Plaintiffs adopt those allegations as set forth in the Master Complaint Section II, | | | | | | | |
| Jurisdiction. | | | | | | | |
| 4. The Court's jurisdiction over the subject matter of this action is: Founded upon Federal | | | | | | | |
| Question Jurisdiction, specifically | | | | | | | |
| 4A1. Air Transport Safety & System Stabilization Act of 2001, (or) | | | | | | | |
| 4A2. Federal Officers Jurisdiction, (or) | | | | | | | |
| ☐4A3. This Court has supplemental jurisdiction pursuant to 28 USC | | | | | | | |
| §1367(a) based upon the New York Labor Law §200 and | | | | | | | |
| §241(6), and common law negligence. | | | | | | | |
| Other if an individual plaintiff is alleging a basis of jurisdiction not | | | | | | | |
| stated above, plaintiffs should follow the procedure as outlined in the | | | | | | | |
| CMO # $\underline{4}$ governing the filing of the Master Complaint and Check-off | | | | | | | |
| Complaints. | | | | | | | |

| Case 1:07-cv-01694-AKH Document 2-3 Filed 06/29/2007 Page 3 of 46 5. The Court's jurisdiction of the subject matter of this action is: Contested, but the Court has |
|--|
| already determined that it has removal jurisdiction over this action, pursuant to 28 U.S.C. § |
| 1441. |
| |
| III. |
| VENUE |
| 6. Plaintiffs adopt those allegations as set forth in the Master Complaint Section III, Venue. |
| IV. |
| PARTIES |
| 7. Plaintiffs adopt those allegations as set forth in the Master Complaint Section IV, Parties. |
| 8. THE INJURED PLAINTIFF'S NAME IS (referencing the individual specifically injured and/or if deceased, hereinafter referred to as "Decedent Plaintiff"): and the last four digits of his /her social security number are or the last four |
| digits of his/her federal identification number are |
| 9. THE INJURED PLAINTIFF'S ADDRESS IS: |
| ☐ 10. THE REPRESENTATIVE PLAINTIFF'S NAME IS (if "Injured Plaintiff" is deceased): (hereinafter referred to as the "Representative Plaintiff") |
| ☐ 11. THE REPRESENTATIVE PLAINTIFF'S ADDRESS IS (if "Injured Plaintiff" is deceased): |

| Case 1:07-cv-01694-AKH Document 2-3 Filed 06/29/2007 Page 4 of 46 12. THE REPRESENTATIVE PLAINTIFF (if "Injured Plaintiff" is deceased) was appointed |
|--|
| as Administrator of the Goods, Chattels and Credits which were of the "Injured Plaintiff" |
| on, |
| by the Surrogate Court, County of, State of New York. |
| ☐ 13. THE REPRESENTATIVE PLAINTIFF (if "Injured Plaintiff" is deceased) was appointed |
| as Executor of the Estate of the "Injured Plaintiff" on |
| , by the Surrogate Court, County of |
| , State of New York. |
| ☐ 14. THE DERIVATIVE PLAINTIFF'S NAME: (hereinafter referred to as the "Derivative |
| Plaintiff" and if deceased, hereinafter referred to as "Decedent Derivative Plaintiff") |
| |
| ☐ 15. THE DERIVATIVE PLAINTIFF'S ADDRESS: |
| |
| ☐ 16. THE REPRESENTATIVE DERIVATIVE PLAINTIFF'S NAME: (if "Derivative |
| Plaintiff" is deceased) |
| ☐ 17. THE REPRESENTATIVE PLAINTIFF'S DERIVATIVE ADDRESS (if "Derivative |
| Plaintiff" is deceased): |
| ☐ 18. THE REPRESENTATIVE DERIVATIVE PLAINTIFF was appointed as Administrator |
| of the Goods, Chattels and Credits which were of the "Derivative Plaintiff" on |
| , |

| ☐ 19. THE REPRESENTATIVE DERIVATIVE PLAINTIFF was appointed as Executor of the | |
|--|--|
| Estate of the "Derivative Plaintiff" on, by the | |
| Surrogate Court, County of, State of New York. | |
| 20. Injured Plaintiff, as aforementioned, is an individual and a resident of the State of New | |
| York residing at the aforementioned address. | |
| 21. Injured Plaintiff, as aforementioned, is an individual and a resident of (if other | |
| than New York), and resides at the aforementioned address. | |
| 22. Representative Plaintiff, as aforementioned, is a resident of the State of New York, | |
| residing at the aforementioned address. | |
| 23. Representative Plaintiff, as aforementioned, is an individual and a resident of (if other | |
| than New York), and resides at the aforementioned address. | |
| 24. Representative Plaintiff, as aforementioned, brings this claim in his/her representative | |
| capacity, as aforementioned on behalf of the Estate of the Decedent Plaintiff. | |
| 25. Derivative Plaintiff, as aforementioned, is a resident of the State of New York, residing | |
| at the aforementioned address. | |
| 26. Derivative Plaintiff, as aforementioned, is an individual and a resident of (if other than | |
| New York), and resides at the aforementioned address. | |
| 27. Representative Derivative Plaintiff, as aforementioned, is a resident of the State of New | |
| York, residing at the aforementioned address. | |
| 28. Representative Derivative Plaintiff, as aforementioned, is an individual and a resident of | |
| (if other than New York), and resides at the aforementioned | |
| address. | |

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Instructions: To the extent that plaintiff has specificity as to the information to be placed within the columns of the chart below, such should be provided. Additionally, to the extent that plaintiff has specificity as to differing areas or floors within a particular building or location, a separate line entry should be made for each area or floor within a building within which they worked. If plaintiff is unable at this time to enunciate a response to a particular column heading, the applicable column should be marked with an "X." (See Sample Chart below)

Each sub-paragraph shall be deemed to allege: "The Injured Plaintiff at times relevant to the claims herein, worked at (address/location), on or at (the floor or area) for the following (dates of employment), while in the employ of (name of employer), maintaining the position of (job title), performing the activities of (job activity) and worked at said location for approximately (hours), working in the following shift (shift worked). i.e., "The Injured Plaintiff at times relevant to the claims herein, worked at 500 Broadway, on the 2nd floor, for the following dates, 10/1/01-6/1/02, while in the employ of ABC Corp, maintaining the position of cleaner and performing activities including debris removal and worked on and/or at said floor or area for approximately 20 hours, working the 8-am-5PM shift."

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31. The Injured Plaintiff worked at the address/location, on the following floors or areas, for following dates of employment, for the employer, in the job title of, performing the job activity of and for the number of hours, and for the shift worked, as specified on the following page.

Sample Chart

| | ADDRESS/ LOCATION | FLOOR(S)/ AREAS | DATES OF EMPLOYMENT | NAME OF EMPLOYER | JOB TITLE | JOB ACTIVITY | HOURS WORKED | SHIFT WORKED | PERCENT OF TOTAL HOURS WORKD |
|-----|----------------------|--------------------|------------------------|---------------------|--------------|------------------------------|-----------------|-----------------|---------------------------------------|
| 31a | *500 Broadway | 2 | 10/1/01-6/1/02 | ABC CORP. | CLEANER | DEMOLITION/DEBRIS REMOVAL | 20 | 8AM-5PM | 50 |
| 31b | 1600 Broadway | 2 | 11/1/01-11/15/01 | ABC CORP. | CLEANER | X | 10 | X | 25 |
| 31c | 1600 Broadway | basement | 12/15/01-12/16/01 | XYZ Corp. | CLEANER | X | 10 | X | 25 |

Total Hours Worked: <u>40</u>

| | ADDRESS/ LOCATION | FLOOR(S)/ AREAS | DATES OF EMPLOYMENT | NAME OF EMPLOYER | JOB TITLE | JOB ACTIVITY | HOURS WORKED | SHIFT WORKED | PERCENT OF TOTAL HOURS WORKED |
|------|----------------------|--------------------|------------------------|---------------------|--------------|-----------------|-----------------|-----------------|--|
| 31a. | | | | | | | | | |
| 31b. | | | | | | | | | |
| 31c. | | | | | | | | | |
| 31d. | | | | | | | | | |
| 31e. | | | | | | | | | |
| 31f. | | | | | | | | | |
| 31g. | | | | | | | | | |
| 31h. | | | | | | | | | |
| 31i. | | | | | | | | | |
| 31j. | | | | | | | | | |

| | ADDRESS/ LOCATION | FLOOR(S)/ AREAS | DATES OF EMPLOYMENT | NAME OF EMPLOYER | JOB TITLE | JOB ACTIVITY | HOURS WORKED | SHIFT WORKED | PERCENT OF TOTAL |
|------|----------------------|--------------------|------------------------|---------------------|--------------|-----------------|-----------------|-----------------|---------------------|
| | | | | | | | | | HOURS WORKED |
| 31k. | | | | | | | | | |
| 311. | | | | | | | | | |
| 31m. | | | | | | | | | |
| 31n. | | | | | | | | | |
| 310. | | | | | | | | | |
| 31p. | | | | | | | | | |
| 31q. | | | | | | | | | |
| 31r. | | | | | | | | | |
| 31s. | | | | | | | | | |

☐ Other (Check here, if need for additional space and attach Rider and continue with same format as above)

| | 1:07-cv-01694-AKH Document 2-3 Filed 06/29/2007 Page 11 of 46 The plaintiff worked at all buildings or locations for the total number of hours as |
|---------|---|
| indicat | red: |
| □ 32. | The Injured Plaintiff was exposed to and breathed noxious fumes on all dates, at the |
| | site(s) indicated above, unless otherwise specified. |
| □ 33. | The Injured Plaintiff was exposed to and inhaled or ingested toxic substances and |
| | particulates on all dates at the site(s) indicated above, unless otherwise specified |
| ☐ 34. | The Injured Plaintiff was exposed to and absorbed or touched toxic or caustic substances |
| | on all dates at the site(s) indicated above, unless otherwise specified |
| □ 35. | The Plaintiff, and/or if also applicable to derivative plaintiff, check here $\ \square$, or his/or |
| | representative, has not made a claim to the Victim Compensation Fund. Therefore, |
| | pursuant to §405(c)(3)(B)(i) of the Air Transportation Safety and System Stabilization |
| | Act, 49 U.S.C. 40101, the issue of waiver is inapplicable. |
| ☐ 36. | The Plaintiff and/or if also applicable to derivative plaintiff, check here \(\square \), or his/or |
| | representative, has made a claim to the Victim Compensation Fund, which claim was not |
| | deemed "substantially complete." The plaintiff therefore has not waived the "right to file |
| | a civil action (or be party to an action) in any Federal or State court for damages |
| | sustained as a result of the terrorist aircraft crashes of September 11,2001, except for civil |
| | actions to recover collateral source obligations." 49 U.S.C. 40101 at § 405 (c)(3)(B). |
| ☐ 37. | The Plaintiff and/or if also applicable to derivative plaintiff, check here \(\square \), or his/or |
| | representative, has made a claim to the Victim Compensation Fund, which claim was |
| | deemed "substantially complete" by the Fund. The plaintiff has therefore waived the |
| | "right to file a civil action (or be party to an action) in any Federal or State court for |
| | damages sustained as a result of the terrorist aircraft crashes of September 11, 2001, |
| | except for civil actions to recover collateral source obligations." 49U.S.C. 40101 at |
| | Section 405 (c) (3) (B) |

| | 1:07-cv-01694-AKH Document 2-3 Filed 06/29/2007 Page 12 of 46 The Plaintiff and/or if also applicable to derivative plaintiff, check here \(\subseteq \), or his/or |
|------------|--|
| | representative, has made a claim to the Victim Compensation Fund that was granted by |
| | the Fund. The plaintiff has therefore waived the "right to file a civil action (or be party to |
| | an action) in any Federal or State Court for damages sustained as a result of the terrorist |
| | aircraft crashes of September 11, 2002 except for civil actions to recover collateral source |
| | obligations." 49 U.S. C. 40101 at Sec. 405 (c)(3) (B) |
| ☐ 39. | The Plaintiff and/or if also applicable to derivative plaintiff, check here \(\subseteq \), or his/or |
| | representative, has made a claim to the Victims Compensation Fund that was deemed |
| | ineligible prior to a determination of being substantially complete. |
| <u> </u> | The Plaintiff and/or if also applicable to derivative plaintiff, check here \(\subseteq \), or his/or |
| | representative, has made a claim to the Victims Compensation Fund that was deemed |
| | ineligible subsequent to a determination of being substantially complete. |
| <u> </u> | The allegations in the body of the Master Complaint, are asserted as against each |
| | defendant as checked off below. If plaintiff asserts additional allegations, buildings, |
| | locations and/or defendants plaintiffs should follow the procedure as outlined in the CMC |
| | # 4 governing the filing of the Master Complaint and Check-off Complaints. |
| <u>42.</u> | The specific Defendants alleged relationship to the property, as indicated below or as |
| | otherwise the evidence may disclose, or their role with relationship to the work thereat, |
| | gives rise to liability under the causes of actions alleged, as referenced in the Master |
| | Complaint. |
| | Instruction: The Defendant(s) names in the Master Complaint are re-stated below. The |
| | Defendant's are listed by reference to the building and/or location at which this specific |
| | plaintiff alleges to have worked. Each sub- paragraph shall be deemed to allege: "With |
| | reference to (address), the defendant (entity) was a and/or the (relationship) of and/or at |
| | the subject property and/or in such relationship as the evidence may disclose " (i.e. With |

| Case 1:07-cv-01694-AKH Document 2-3 Filed 06/29/2007 Page 13 of 46 reference to 4 Albany Street, defendant Bankers Trust Company, was the owner of the | | | | | | | | |
|--|--|--|--|--|--|--|--|--|
| subject property and/or in such relationship as the evidence may disclose). | | | | | | | | |
| 43. With reference to (address as checked below), the defendant (entity as checked below) | | | | | | | | |
| was a and/or the (relationship as indicated below) of and/or at the subject property and/or | | | | | | | | |
| in such relationship as the evidence may disclose. | | | | | | | | |
| | | | | | | | | |
| (43-1) 4 ALBANY STREET | | | | | | | | |
| ☐A. BANKERS TRUST COMPANY (OWNER) | | | | | | | | |
| ☐B. BANKERS TRUST NEW YORK CORPORATION (<i>OWNER</i>) | | | | | | | | |
| C. BANKERS TRUST CORP.(OWNER) | | | | | | | | |
| D. DEUTSCHE BANK TRUST COMPANY AMERICAS (OWNER) | | | | | | | | |
| ☐E. DEUTSCHE BANK TRUST CORPORATION (OWNER) | | | | | | | | |
| F. JONES LANG LASALLE AMERICAS, INC. (OWNER) | | | | | | | | |
| ☐G. JONES LANG LASALLE SERVICES, INC. (OWNER) | | | | | | | | |
| H. AMBIENT GROUP, INC. (CONTRACTOR) | | | | | | | | |
| ☐I. RJ LEE GROUP, INC. (OWNER) | | | | | | | | |
| ☐J. TISHMAN INTERIORS CORPORATION(CONTRACTOR) | | | | | | | | |
| (43-2) 99 BARCLAY STREET | | | | | | | | |
| ☐A. THE BANK OF NEW YORK COMPANY, INC. (OWNER) | | | | | | | | |
| ☐B. ONE WALL STREET HOLDINGS, LLC. (OWNER) | | | | | | | | |
| (43-3)101 BARCLAY STREET (BANK OF NEW YORK) | | | | | | | | |
| ☐A. THE BANK OF NEW YORK COMPANY, INC. (OWNER) | | | | | | | | |
| ☐B. ONE WALL STREET HOLDINGS, LLC. (OWNER) | | | | | | | | |
| (43-4)125 BARCLAY STREET | | | | | | | | |
| ☐ A. ELAINE ESPEUT, AS TRUSTEE UNDER A DECLARATION OF | | | | | | | | |
| TRUST (OWNER) | | | | | | | | |
| ☐B. FRANK MORELLI, AS TRUSTEE UNDER A DECLARATION OF | | | | | | | | |
| TRUST (OWNER) | | | | | | | | |

| □C. | 37 BENEFITS FUND TRUST (OWNER) |
|-------------|---|
| (43-5) 20 | BROAD STREET |
| _ · · | 20 BROAD ST. CO. (OWNER) |
| B. | VORNADO OFFICE MANAGEMENT, LLC (AGENT) |
| (43-6) 30 | BROAD STREET (CONTINENTAL BANK BUILDING) |
| A. | 30 BROAD STREET ASSOCIATES, LLC (OWNER) |
| _ | MURRAY HILL PROPERTIES (AGENT) |
| (43-7) 40 | BROAD STREET |
| \Box A. | 40 BROAD, LLC (OWNER) |
| <u></u> B. | CB RICHARD ELLIS (AGENT) |
| (43-8) 60 | BROAD STREET |
| □A. | WELLS 60 BROAD STREET, LLC (OWNER) |
| <u>□</u> B. | COGSWELL REALTY GROUP & WELLS REAL ESTATE FUNDS |
| | (AGENT) |
| (43-9) 75 | BROAD STREET |
| \Box A | 75 BROAD LLC (OWNER) |
| <u>□</u> B. | JEMB REALTY CORP. (AGENT) |
| (43-10) 85 | 5 BROAD STREET |
| \Box A | ASSAY PARTNERS (AGENT) |
| (43-11)10 | 4 BROAD STREET (NEW YORK TELEPHONE COMPANY |
| BUIL | DING) |
| □A. | CITY OF NEW YORK (OWNER) |
| (43-12) 1 | BROADWAY |
| □A. | KENYON & KENYON (OWNER) |
| <u></u> B. | LOGANY LLC (OWNER) |
| □C. | ONE BROADWAY, LLC (OWNER) |
| | (43-5) 20 |

| Case | 1:07-cv-0169 (43-13) 2 1 | | | Filed 06/29/2007 | Page 15 of 46 |
|------|--------------------------|----------|-------------------|-------------------|---------------|
| | \square A. | 2 BROA | DWAY, LLC (OW | (NER) | |
| | <u>□</u> B. | COLLIE | ERS ABR, INC. (AC | GENT) | |
| | (43-14) 25 | BROAD | WAY | | |
| | \Box A. | 25 BRO | ADWAY OFFICE | PROPERTIES, LLC (| (OWNER) |
| | <u>□</u> B. | ACTA R | REALTY CORP. (A | GENT) | |
| | (43-15) 30 | BROAD | WAY | | |
| | □A. | CONST | ITUTION REALTY | Y LLC (OWNER) | |
| | (43-16) 45 | BROAD | WAY | | |
| | □A. | B.C.R.E | . (AGENT) | | |
| | (43-17) 61 | BROAD | WAY | | |
| | □A. | CROWN | N BROADWAY, L | LC (OWNER) | |
| | \square B. | CROWN | N PROPERTIES, IN | NC (OWNER) | |
| | □C. | CROWN | N 61 ASSOCIATES | S, LP (OWNER) | |
| | □D. | CROWN | N 61 CORP (OWNE | CR) | |
| | (43-18) 71 | BROAD | WAY | | |
| | □A. | ERP OP | ERATING UNLIM | IITED PARTNERSHI | IP (OWNER) |
| | <u></u> B. | EQUITY | RESIDENTIAL (| AGENT) | |
| | (43-19) 90 | EAST B | ROADWAY | | |
| | □A. | SUN LA | U REALTY CORE | P. (OWNER) | |
| | (43-20) 11 | 1/113 BR | OADWAY | | |
| | $\Box A$ | TRINIT | Y CENTRE LLC (| OWNER) | |
| | <u></u> B. | CAPITA | L PROPERTIES, I | NC. (OWNER) | |
| | \(\langle (42.21\) 11 | 5/110 DD | OADWAY | | |
| | ☐ (43-21) 11 | | | OWNED) | |
| | ∐A. | IKIINII | Y CENTRE LLC (| JWWEK) | |

| \Box (43-22) 12 | 0 BROADWAY (THE EQUITABLE BUILDING) |
|-------------------|--|
| □A. | BOARD OF MANAGERS OF THE 120 BROADWAY |
| | CONDOMINIUM (CONDO #871) (OWNER) |
| <u>□</u> B. | 120 BROADWAY, LLC (OWNER) |
| □C. | 120 BROADWAY CONDOMINIUM (CONDO #871) (<i>OWNER</i>) |
| □D. | 120 BROADWAY PROPERTIES, LLC (OWNER) |
| □E. | 715 REALTY CO. (OWNER) |
| □F. | SILVERSTEIN PROPERTIES, INC. (OWNER) |
| \Box G. | 120 BROADWAY HOLDING, LLC (OWNER) |
| ☐H. | CITIBANK, NA (OWNER) |
| (43-23) 14 | 0 BROADWAY |
| □A. | MSDW 140 BROADWAY PROPERTY L.L.C. (OWNER) |
| (43-24) 15 | 50 BROADWAY |
| □A. | 150 BROADWAY N.Y. ASSOCS. L.P. (OWNER) |
| <u>□</u> B. | 150 BROADWAY CORP. (OWNER) |
| □C. | BAILEY N.Y. ASSOCIATES (OWNER) |
| □D. | AT&T WIRELESS SERVICES, INC. (OWNER) |
| □E. | BROWN HARRIS STEVENS COMMERCIAL SERVICES, LLC |
| | (AGENT) |
| (43-25) 16 | 50 BROADWAY |
| ☐ A. | DAROR ASSOCIATES, LLC (OWNER) |
| ☐ B. | BRAUN MANAGEMENT, INC. (AGENT) |
| (43-26) 17 | 0 BROADWAY |
| □A. | AMG REALTY PARTNERS, LP (OWNER) |
| <u>□</u> B. | JONES LANG LASALLE AMERICAS, INC. (OWNER) |
| □C. | JONES LANG LASALLE SERVICES, INC. (OWNER) |
| □D. | AMBIENT GROUP, INC. (CONTRACTOR) |
| (43-27) 21 | 4 BROADWAY |

| Case 1:07-cv-0169 ☐F. | 4-AKH Document 2-3 Filed 06/29/2007 Page 18 of 46 CAROL GAYNOR TRUST (<i>OWNER</i>) |
|--------------------------|---|
| □G. | PAMELA BETH KLEIN, AS TRUSTEE OF THE PAMELA |
| | AND ROWAN KLEIN TRUST (OWNER) |
| □н. | ROWAN K. KLEIN, AS TRUSTEE OF THE PAMELA AND |
| | ROWAN KLEIN TRUST (OWNER) |
| <u></u> I. | FRED GOLDSTEIN (OWNER) |
| □ J. | MARGARET G. WATERS (OWNER) |
| □K. | MARGUERITE K. LEWIS, AS TRUSTEE UNDER THE LAST |
| | WILL AND TESTAMENT OF LOUIS W. GOLDSTEIN (OWNER) |
| \Box L. | HERMAN L. BLUM, AS TRUSTEE UNDER THE LAST WILL |
| | AND TESTAMENT OF LOUIS W. GOLDSTEIN (OWNER) |
| \square M. | SYLVIA R. GOLDSTEIN (OWNER) |
| \square N. | RUTH G. LEBOW (OWNER) |
| _O. | HAROLD G. GOLDSTEIN, AS TRUSTEE UNDER |
| | DECLARATION OF TRUST (OWNER) |
| P. | IDELL GOLDSTEIN, AS TRUSTEE UNDER DECLARATION |
| | OF TRUST (OWNER) |
| $\square Q$. | HARLAND GAYNOR, AS TRUSTEE UNDER DECLARATION |
| | OF TRUST (OWNER) |
| $\square R$. | SHIRLEY G. SHOCKLEY, AS TRUSTEE UNDER |
| | DECLARATION OF TRUST (OWNER) |
| | BETTY JEAN GRANQUIST (OWNER) |
| \Box T. | CAROL MERRIL GAYNOR (OWNER) |
| □U. | ALAN L. MERRIL (OWNER) |
| (43-35) 90 | CHAMBERS STREET |
| | 90 CHAMBERS REALTY, LLC (OWNER) |
| (43-36) 10 | 05 CHAMBERS STREET |
| | DATRAN MEDIA (OWNER) |
| | |
| (43-37) 14 | 5 CHAMBERS STREET |
| □A. | 145 CHAMBERS A CO. (OWNER) |

| (43-38) 19 | 99 CHAMBERS STREET (BOROUGH OF MANHATTAN |
|-------------------|--|
| COMI | MUNITY COLLEGE (CUNY)) |
| □A. | BOROUGH OF MANHATTAN COMMUNITY COLLEGE |
| | |
| (43-39) 34 | 5 CHAMBERS STREET (STUYVESANT HIGH SCHOOL) |
| ☐ A. | TRIBECA LANDING L.L.C. (OWNER) |
| □B. | BOARD OF EDUCATION OF THE CITY OF NEW YORK |
| | (OWNER) |
| \Box C. | NEW YORK CITY SCHOOL CONSTRUCTION AUTHORITY |
| | (OWNER) |
| \Box D. | THE CITY OF NEW YORK (OWNER) |
| □E. | BATTERY PARK CITY AUTHORITY (OWNER) |
| ☐ F. | DEPARTMENT OF BUSINESS SERVICES (AGENT) |
| | |
| | |
| (43-40) 40 | 00 CHAMBERS STREET |
| □A. | THE RELATED COMPANIES, LP (OWNER) |
| □ В | RELATED MANAGEMENT CO., LP (OWNER) |
| \Box C. | THE RELATED REATLY GROUP, INC (OWNER) |
| \Box D. | RELATED BPC ASSOCIATES, INC. (OWNER) |
| | |
| \Box (43-41) 55 | CHURCH STREET (MILLENIUM HILTON HOTEL) |
| | CDL NEW YORK LLC MILLENIUM BROADWAY (OWNER) |
| | |
| | CHURCH STREET (POST OFFICE) |
| ∐A. | 90 CHURCH STREET LIMITED PARTNERSHIP (OWNER) |
| ∐B. | BOSTON PROPERTIES, INC. (OWNER) |
| ∐C. | |
| □D. | STRUCTURE TONE GLOBAL SERVICES, INC. |
| | (CONTRACTOR) |
| E. | BELFOR USA GROUP, INC. (CONTRACTOR) |
| □F. | AMBIENT GROUP, INC. (CONTRACTOR) |

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|------|-------------|-----------------------|--------------------|---------------|
| | ☐A. 1 | MOODY'S HOLDINGS, IN | C. (OWNER) | |
| | □B. 0 | GRUBB & ELLIS MANAG | EMENT SERVICES (A | AGENT) |
| | | | | |
| | (43-44) 10 | 00 CHURCH STREET | | |
| | □A. | THE CITY OF NEW YOR | K (OWNER) | |
| | □B. | 100 CHURCH LLC (OWNE | R) | |
| | \Box C. | ZAR REALTY MANAGE | MENT CORP. (AGEN | T) |
| | \Box D. | MERRILL LYNCH & CO. | , INC. (OWNER) | |
| | E. | AMBIENT GROUP, INC. | (CONTRACTOR) | |
| | □F. | INDOOR ENVIRONMEN | TAL TECHNOLOGY | , INC. |
| | | (CONTRACTOR/AGENT) | | |
| | \Box G. | GPS ENVIRONMENTAL | CONSULTANTS, IN | C. |
| | | (CONTRACTOR/AGENT | | |
| | ☐H. | CUNNINGHAM DUCT C | LEANING CO., INC. | (CONTRACTOR) |
| | □I. | TRC ENGINEERS, INC. (| CONTRACTOR/AGEN | TT |
| | \Box J. | INDOOR AIR PROFESSION | ONALS, INC. (CONTI | RACTOR/AGENT |
| | □K. | LAW ENGINEERING P.C | C. (CONTRACTOR/AG | ENT |
| | \Box L. | ROYAL AND SUNALLIA | ANCE INSURANCE G | ROUP, PLC |
| | | (OWNER) | | |
| | (43-45) 11 | 0 CHURCH STREET | | |
| | | 110 CHURCH LLC (OWN | (ER) | |
| | _ | 53 PARK PLACE LLC (O | , | |
| | <u> </u> | ZAR REALTY MANAGE | , | T) |
| | <u> </u> | LIONSHEAD DEVELOPM | ` | , |
| | <u> </u> | LIONSHEAD 110 DEVEL | ` | , |
| | | | | |
| | (43-46) 12 | 0 CHURCH STREET (BAN | NK OF NEW YORK) | |
| | □A. | 110 CHURCH LLC (OWN | (ER) | |
| | <u>□</u> B. | 53 PARK PLACE LLC (O | WNER) | |
| | □C. | ZAR REALTY MANAGE | MENT CORP. (AGEN | T) |
| | \Box D. | LIONSHEAD DEVELOPM | MENT LLC (OWNER/ | AGENT) |
| | □E. | LIONSHEAD 110 DEVEL | OPMENT LLC (OWA | VER/AGENT) |

| □ (43 | 3-47) 22 | CORTLANDT STREET (CENTURY 21) |
|-------------|------------|---|
| | □A. | MAYORE ESTATES LLC (OWNER) |
| | □B. | 80 LAFAYETTE ASSOCIATES, LLC (OWNER) |
| | □C. | MAYORE ESTATES LLC AND 80 LAFAYETTE ASSOCIATION LLC |
| | | AS TENANTS IN COMMON (OWNER) |
| | □D. | BLUE MILLENNIUM REALTY LLC (OWNER) |
| | □E. | CENTURY 21, INC. (OWNER) |
| | □F. | B.R. FRIES & ASSOCIATES, INC. (AGENT) |
| | □G. | STONER AND COMPANY, INC. (AGENT) |
| | ☐H. | HILLMAN ENVIRONMENTAL GROUP, LLC. |
| | | (AGENT/CONTRACTOR) |
| | | GRUBB & ELLIS MANAGEMENT SERVICES (AGENT) |
| | | |
| □ (42 | 40\ 20 | CODEL ANDE CEDECE (CENTUDA 21) |
| ∐ (43 | | CORTLANDT STREET (CENTURY 21) |
| | _ | BLUE MILLENNIUM REALTY LLC (OWNER) |
| | | CENTURY 21 DEPARTMENT STORES LLC (OWNER) |
| | ∐C. | GRUBB & ELLIS MANAGEMENT SERVICES (AGENT) |
| | S-49) 7] | DEY STREET (GILLESPI BUILDING) |
| | | SAKELE BROTHERS LLC (OWNER) |
| | | |
| (43) | 3-50) 1 l | FEDERAL PLAZA |
| | | US GOVERNMENT (OWNER) |
| | . 51) 06 | |
| □ (43 | | FEDERAL PLAZA (JACOB K. JAVITS FEDERAL BUILDING) |
| | ∐A. | TRIO ASBESTOS REMOVAL (CONTRACTOR) |
| | 3-52) 16 | 3 FRONT STREET |
| | | AMERICAN INTERNATIONAL REALTY CORP. (OWNER) |
| | <u></u> B. | AMERICAN INTERNATIONAL GROUP (OWNER) |
| | | |
| <u></u> (43 | 3-53) 77 | FULTON STREET |

| | 4-AKH Document 2-3 Filed 06/29/2007 Page 22 of 46 SOUTHBRIDGE TOWER, INC. (OWNER) |
|-------------------------|---|
| (43-54) GA | ATE HOUSE |
| A. | THE CITY OF NEW YORK (OWNER) |
| (43-55) 10 0 | 0 GOLD STREET |
| □A. | CITY WIDE ADMINISTRATIVE SERVICES (OWNER) |
| (43-56) 240 | 0 GREENE STREET |
| <u></u> A. | NEW YORK UNIVERSITY (OWNER) |
| \square B. | DORMITORY AUTHORITY OF THE STATE OF NEW YORK |
| | (OWNER) |
| (43-57) 70 | GREENWICH STREET (PARKING GARAGE) |
| □A. | EDISON PARKING MANAGEMENT, L.P. (OWNER/AGENT) |
| \square B. | ALLRIGHT PARKING MANAGEMENT, INC. |
| | (OWNER/AGENT) |
| □C. | CENTRAL PARKING SYSTEM OF NEW YORK, INC. |
| | (OWNER/AGENT) |
| (43-58) 88 | GREENWICH STREET |
| <u></u> A. | BLACK DIAMONDS LLC (OWNER) |
| <u>□</u> B. | 88 GREENWICH LLC (OWNER) |
| (43-59) 10 8 | 8 GREENWICH STREET |
| ☐A. | JOSEPH MARTUSCELLO (OWNER) |
| | |
| (43-60) 11 ₄ | 4 GREENWICH STREET |
| □A. | SENEX GREENWICH REALTY ASSOCIATES, LLC (OWNER) |
| (43-61) 120 | 0 GREENWICH PLACE |
| □ (15 61) 12 □A. | SENEX GREENWICH REALTY ASSOCIATES (OWNER) |

| |]A. | BANK OF NEW YORK (C |)W/ |
|-------|-----|-------------------------------------|-----|
| _ ` | | JOHN STREET ROCKROSE DEVELOPM | EN' |
| _ ` _ | _ | 0 JOHN STREET MAZAL GROUP (OWNER | ?) |
| | | 2 | 22 |

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| Case | | | 4-AKH MAIDEN | Document I LANE | 2-3 | Filed 06/29/ | /2007 | Page 26 of 4 | 46 |
|------|--------------|---------------|-----------------|--------------------|---------|---------------------|---------|--------------|--------|
| | | □A. | CHICAC | GO 4, L.L.C. | (OWNE | (ER) | | | |
| | | <u></u> B. | 2 GOLD | L.L.C., SUG | CCESSO | OR BY MERO | GER TO | CHICAGO 4, | L.L.C. |
| | | (OWN) | ER) | | | | | | |
| | | | | | | | | | |
| | <u></u> (43- | -83-1) 1 | 25 MAII | DEN LANE | | | | | |
| | | □A. | 125 MA | IDEN LANE | E EQUIT | TIES, LLC (O | OWNER) | | |
| | <u></u> (43- | -84) M | ARRIOT | Γ FINANCI <i>A</i> | AL CEN | TER HOTEL | _ | | |
| | | □A. | HMC CA | APITOL RES | SOURC | ES CORP. (A | GENT) | | |
| | | □B. | HMC FI | NANCIAL (| CENTE | R, INC. (OWI | VER) | | |
| | | □C. | MARRIO | ОТТ НОТЕІ | L SERV | ICES, INC. (A | AGENT) | | |
| | | □D. | MK WE | ST STREET | COMP | ANY (AGEN | T) | | |
| | | □E. | MK WE | ST STREET | COMP | ANY, L.P. <i>(A</i> | GENT) | | |
| | ☐ (43- | -85) 10 | 1 MURR. | AY STREET | Γ | | | | |
| | | | | N'S UNIVE | | (OWNER) | | | |
| | | | | | | | | | |
| | <u></u> (43- | ·86) 110 — | 0 MURR | AY STREET | Γ | | | | |
| | | ∐A. | | | | K COMPANY | | | |
| | | <u></u> B. | ONE WA | ALL STREE | T HOLI | DINGS, LLC | . (OWNE | ER) | |
| | <u></u> (43- | -87) 26 | NASSAU | J STREET (| 1 CHAS | E MANHAT | TAN BA | ANK | |
| | | □A. | J.P. MOI | RGAN CHA | SE COF | RPORATION | (OWNE | CR) | |
| | ☐ (43- | -88) 81 | NASSAU | J STREET | | | | | |
| | — ` | | | ORP. (OWA | VER) | | | | |
| | | | | | | | | | |
| | <u></u> (43- | ·89) 4 N | NEW YO | RK PLAZA | | | | | |
| | | | MANUF | ACTURERS | S HANC | OVER TRUS | Г СОМР | PANY | |
| | | | (OWNER | R) | | | | | |
| | ☐ (43- | -90) 10: | 2 NORTH | I END AVE | NUE | | | | |
| | _ 、 | | | | | COMPANY, I | INC. (O | WNER/AGENT | Γ) |
| | | | | | | | | | |

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| | SABINE ZERARKA (OWNER) |
|-----------------------------------|---|
| \[\left(43-100) 3 | 0 ROCKEFELLER PLAZA |
| | TISHMAN SPEYER PROPERTIES (OWNER) |
| □B. | V CUCINIELLO (OWNER) |
| □ (//3 101) 1 | -9 RECTOR STREET |
| _ ` _ ′ | 50 TRINITY, LLC (OWNER) |
| □/1. □B. | BROADWAY WEST STREET ASSOCIATES LIMITED |
| | PARTNERSHIP (OWNER) |
| Пс. | HIGHLAND DEVELOPMENT LLC (OWNER) |
| _ | STEEPLECHASE ACQUISITIONS LLC (OWNER) |
| <u> </u> | BLACK DIAMONDS LLC (OWNER) |
| □F. | 88 GREENWICH LLC (OWNER) |
| | |
| (43-102) 1 | 9 RECTOR STREET |
| ☐ A. | BLACK DIAMONDS LLC (OWNER) |
| □B. | 88 GREENWICH LLC (OWNER) |
| | |
| (43-103) 4 | 0 RECTOR STREET |
| | NEW YORK TELEPHONE COMPANY (AGENT) |
| ☐ (43, 104) 2 | 25 RECTOR PLACE |
| $\square (43-104) 2$ $\square A.$ | LIBERTY VIEW ASSOCIATES, L.P. (OWNER) |
| □A. □B. | AMG REALTY PARTNERS, LP (OWNER) |
| в. □С. | RELATED MANAGEMENT CO., LP (AGENT) |
| □c. □D. | THE RELATED REALTY GROUP, INC. (OWNER) |
| D. □Е. | THE RELATED COMPANIES, LP (OWNER) |
| E. □F. | RELATED BPC ASSOCIATES, INC. (OWNER) |
| <u></u> Γ. | RELATED DI C ASSOCIATES, INC. (UWNER) |
| (43-105) 2 | 80 RECTOR PLACE (THE SOUNDING) |
| □A. | BROWN HARRIS STEVENS (AGENT) |
| □ B. | THE RELATED COMPANIES, LP (OWNER) |
| ☐ (43-105) 2 ☐A. | 80 RECTOR PLACE (THE SOUNDING) BROWN HARRIS STEVENS (AGENT) |

| \bigsqcup (43-106) 3 | 300 RECTOR PLACE (BATTERY POINTE) |
|------------------------|---|
| □A. | BATTERY POINTE CONDOMINIUMS (OWNER) |
| □B. | RY MANAGEMENT (AGENT) |
| (43-107) 3 | 377 RECTOR PLACE (LIBERTY HOUSE |
| □A. | MILFORD MANAGEMENT CORP. (AGENT) |
| □B. | MILSTEIN PROPERTIES CORP. (OWNER) |
| □C. | LIBERTY HOUSE CONDOMINIUM (OWNER) |
| (43-108) 3 | 880 RECTOR PLACE (LIBERTY TERRACE) |
| □A. | MILFORD MANAGEMENT CORP. (OWNER) |
| <u>□</u> B. | LIBERTY TERRACE CONDOMINIUM (OWNER) |
| (43-109) 2 | 2 SOUTH END AVENUE (COVE CLUB) |
| □A. | COOPER SQUAER REALTY, INC. (OWNER) |
| (43-110) 2 | 250 SOUTH END AVENUE (HUDSON VIEW EAST) |
| | BATTERY PARK CITY AUTHORITY (OWNER) |
| <u>□</u> B. | HUDSON VIEW TOWERS ASSOCIATES (OWNER) |
| □C. | HUDSON VIEW EAST CONDOMINIUM (OWNER) |
| □D. | BOARD OF MANAGERS OF THE HUDSON VIEW EAST |
| | CONDOMINIUM (OWNER) |
| E. | R Y MANAGEMENT CO., INC. (AGENT) |
| □F. | ZECKENDORF REALTY, LP, (AGENT/OWNER) |
| □G. | ZECKENDORF REALTY, LLC, (AGENT/OWNER) |
| (43-111) 3 | 315 SOUTH END AVENUE |
| □A. | THE CITY OF NEW YORK (OWNER) |
| (43-112) 3 | 345 SOUTH END AVENUE (100 GATEWAY PLAZA) |
| □A. | EMPIRE STATE PROPERTIES, INC. (OWNER) |
| \Box B. | LEFRAK ORGANIZATION INC. (OWNER) |

| Case | 1:07-cv-01694-AKH Document 2-3 Filed 06/29/2007 Page 30 of 46 (43-113) 355 SOUTH END AVENUE (200 GATEWAY PLAZA) |
|------|--|
| | ☐ A. EMPIRE STATE PROPERTIES, INC. (OWNER) |
| | ☐ B. LEFRAK ORGANIZATION INC. (OWNER) |
| | (43-114) 375 SOUTH END AVENUE (600 GATEWAY PLAZA) |
| | ☐A. EMPIRE STATE PROPERTIES, INC. (OWNER) |
| | B. LEFRAK ORGANIZATION INC. (OWNER) |
| | (43-115) 385 SOUTH END AVENUE (500 GATEWAY PLAZA) |
| | ☐A. EMPIRE STATE PROPERTIES, INC. (OWNER) |
| | B. LEFRAK ORGANIZATION INC. (OWNER) |
| | (43-116) 395 SOUTH END AVENUE (400 GATEWAY PLAZA) |
| | ☐A. THE CITY OF NEW YORK (OWNER) |
| | B. BATTERY PARK CITY AUTHORITY (OWNER) |
| | C. HUDSON TOWERS HOUSING CO., INC. (OWNER) |
| | D. EMPIRE STATE PROPERTIES, INC. (OWNER) |
| | ☐ E. LEFRAK ORGANIZATION, INC. (OWNER) |
| | ☐ (43-117) 22 THAMES STREET |
| | ☐A. 123 WASHINGTON, LLC (C/O THE MOINIAN GROUP) |
| | ☐ (43-118) 88 THOMAS STREET |
| | 50 HUDSON LLC (OWNER) |
| | (43-119) TRINITY CHURCH |
| | RECTOR OF TRINITY CHURCH (OWNER) |
| | (43-120) 100 TRINITY PLACE (HIGH SCHOOL OF ECONOMICS AND |
| | FINANCE) |
| | A. THAMES REALTY CO. (OWNER) |
| | ☐B. NEW YORK UNIVERSITY (OWNER) |
| | (43-121) 78-86 TRINITY PLACE (AMERICAN STOCK EXCHANGE) |

| | 4-AKH Document 2-3 Filed 06/29/2007 Page 31 of 46 AMERICAN STOCK EXCHANGE LLC (OWNER) |
|-------------------|---|
| □B. | AMERICAN STOCK EXCHANGE CLEARING LLC (OWNER) |
| □C. | AMERICAN STOCK EXCHANGE REALTY ASSOCIATIES |
| | LLC (OWNER) |
| \Box D. | NATIONAL ASSOCIATION OF SECURITIES DEALERS |
| | (OWNER) |
| □ E. | THE NASDAQ STOCK MARKET, INC (OWNER) |
| □F. | AMEX SEAT OWNERS ASSOCIATION, INC. (OWNER) |
| \Box G. | AMEX SPECIALISTS ASSOCIATION, INC. (OWNER) |
| ☐H. | AMEX COMMODITIES LLC (OWNER) |
| <u></u> I. | AMEX INTERNATIONAL INC. (OWNER) |
| \Box J. | AMEX INTERNATIONAL LLC (OWNER) |
| □K. | NEW YORK CITY INDUSTRIAL DEVELOPMENT AGENCY |
| | (OWNER) |
| \Box L. | NEW YORK CITY ECONOMIC DEVELOPMENT |
| | CORPORATION (OWNER) |
| $\square M$. | NEW YORK CITY INDUSTRIAL DEVELOPMENT |
| | CORPORATION (OWNER) |
| (43-122) 9 | 0 TRINITY PLACE |
| □A. | NEW YORK UNIVERSITY (OWNER) |
| (43-123) T | TRINITY BUILDING |
| \Box A. | CAPITAL PROPERTIES, INC. (AGENT) |
| <u></u> B. | TRINITY CENTRE, LLC (OWNER) |
| (43-124) 7 | 5 VARICK STREET AND 76 VARICK STREET |
| \Box A. | NYC INDUSTRIAL DEVELOPMENT AGENCY (OWNER) |
| <u>□</u> B. | TRINITY REAL ESTATE (AGENT) |
| (43-125) 3 | 0 VESEY STREET |
| □A. | SILVERSTEIN PROPERTIES (OWNER) |
| (43-126) 1 | WALL STREET |

| Case | 1:07-cv-0169 | | | | | | | Page 32 of 46 NY, INC. (OWNER) |
|------|---------------|------------|---------|-----------|-------|---------|-----------|-----------------------------------|
| | | □B. | ONE W | ALL STRE | EET I | HOLDI | NGS LLC | C (OWNER) |
| | | □C. | 4101 AU | JSTIN BL | VD C | CORPOI | RATION | (OWNER) |
| | (43-127) 1 | 1 WAL | L STREE | ET (NEW Y | YORI | K STOC | CK EXCI | HANGE, INC.) |
| | | ☐A. | NYSE, I | NC. (OWA | VER) | | | |
| | | <u></u> B. | NYSE, I | NC. (AGE | ENT) | | | |
| | (43-128) 3 | 7 WAL | L STREE | ET . | | | | |
| | □A. | W ASS | SOCIATE | ES LLC (O | OWNE | ER) | | |
| | (43-129) 4 | 0 WAL | L STREE | ET | | | | |
| | □A. | 32-42] | BROADV | WAY OW | NER, | LLC (| OWNER) | |
| | <u>□</u> B. | CAMN | MEBY'S | MANAGE | EMEN | NT CO., | LLC (AC | GENT) |
| | (43-130) 4 | 5 WAL | L STREE | T | | | | |
| | □A. | 45 WA | LL STRI | EET LLC (| (OWI | VER) | | |
| | (43-131) 6 | 0 WAL | L STREE | ET AND 67 | 7 WA | LL STF | REET | |
| | \Box A. | DEUT | SCHE BA | ANK DBA | B W | ALL ST | REET L | LC (OWNER) |
| | □B. | JONES | LANG | LASALLE | E (AG | EENT) | | |
| | (43-132) 6 | 3 WAL | L STREE | eT. | | | | |
| | \Box A. | 63 WA | LL, INC | . (OWNER | ?) | | | |
| | \square B. | 63 WA | LL STRI | EET INC. | (OWI | NER) | | |
| | □C. | BROW | 'N BROT | THERS HA | ARRI | MAN & | c CO., IN | IC. (AGENT) |
| | (43-133) 1 | 00 WAI | LL STRE | ET | | | | |
| | $\square A$. | 100 W | ALL STF | REET CON | MPAI | NY LLC | C (OWNE | ER) |
| | <u>□</u> B. | RECK | SON CO | NSTRUCT | ΓΙΟΝ | GROU | P NEW | YORK, INC. |
| | | (AGEN | T/CONT | RACTOR) | | | | |
| | | | | | | | | |
| | (43-134) 1 | 11 WAI | LL STRE | ET | | | | |

| | 4-AKH Document 2-3 Filed 06/29/2007 Page 33 of 46 CITIBANK, N.A. (OWNER) |
|-----------------------|--|
| \Box B. | STATE STREET BANK AND TRUST COMPANY, AS OWNER |
| _ | TRUSTEE OF ZSF/OFFICE NY TRUST (OWNER) |
| □C. | 111 WALL STREET LLC (OWNER) |
| □D. | 230 CENTRAL CO., LLC (OWNER) |
| □E. | CUSHMAN & WAKEFIELD, INC. (AGENT) |
| □F. | CUSHMAN & WAKEFIELD 111 WALL, INC (AGENT) |
| \Box G. | CITIGROUP, INC. (OWNER) |
| | |
| (43-135) 4 | 6 WARREN STREET |
| \Box A. | DAVID HELFER (OWNER) |
| | |
| (43-136) 7 | 3 WARRAN STREET |
| \Box A | 73 WARREN STREET LLP (OWNER) |
| | |
| (43-137) 2 | 01 WARREN STREET (P.S. 89) |
| ☐ A. | TRIBECA NORTH END, LLC (OWNER) |
| <u>□</u> B. | THE CITY OF NEW YORK (OWNER) |
| □C. | THE NEW YORK CITY DEPARTMENT OF EDUCATION |
| | (OWNER) |
| □D. | THE NEW YORK CITY SCHOOL CONSTRUCTION |
| AUTH | IORITY (OWNER) |
| | |
| (43-138) 1 | 30 WASHINGTON STREET |
| | HMC FINANCIAL CENTER, INC. (OWNER) |
| | |
| (43-139) 5 | 5 WATER STREET |
| □A. | 55 WATER STREET CONDOMINIUM (OWNER) |
| B. | NEW WATER STREET CORP. (OWNER) |
| \(\langle (42.140) 1 | CO MATTER CERTET |
| | 60 WATER STREET |
| <u> </u> | 160 WATER STREET ASSOCIATES (OWNER) |
| <u> </u> | G.L.O. MANAGEMENT, INC. (AGENT) |
| I IC. | 160 WATER ST. INC. (OWNER) |

| ☐ (43-141) 1 | 199 WATER STREET |
|-------------------|---|
| □A. | RESNICK WATER ST. DEVELOPMENT CO. (OWNER) |
| <u>□</u> B. | JACK RESNICK & SONS INC. (AGENT) |
| (43-142) 2 | 200 WATER STREET |
| □A. | NEW YORK UNIVERSITY (OWNER) |
| <u></u> B. | NEW YORK UNIVERSITY REAL ESTATE CORPORATION (OWNER) |
| \Box C. | 127 JOHN STREET REALTY LLC (OWNER) |
| ☐ D. | ROCKROSE DEVELOPMENT CORP. (OWNER) |
| (43-143) 3 | 3 WEST 57 TH STREET (THE WHITEHALL BUILDING) |
| □A. | EL-KAM REALTY CO. (OWNER) |
| (43-144) 5 | 50 WEST STREET |
| ПА | CAPMARK FINANCE, INC. (OWNER) |
| (43-145) 9 | 00 WEST STREET (WEST STREET BUILDING) |
| □A. | FGP 90 WEST STREET, INC. (OWNER) |
| <u>□</u> B. | KIBEL COMPANIES (OWNER) |
| (43-146) 1 | 40 WEST STREET (VERIZON BUILDING) |
| □A. | VERIZON NEW YORK, INC. (OWNER) |
| □B. | VERIZON PROPERTIES, INC. (OWNER) |
| \Box C. | VERIZON COMMUNICATIONS, INC. (OWNER) |
| □D. | HILLMAN ENVIRONMENTAL GROUP, LLC. |
| | (OWNER'S AGENT/CONTRACTOR) |
| (43-147) 3 | 30 WEST BROADWAY |
| □A. | THE CITY UNIVERSITY OF NEW YORK (OWNER) |
| <u></u> B. | THE CITY OF NEW YORK (OWNER) |
| (43-148) <u>1</u> | 100 WILLIAM STREET |

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|------|-----------|-------------|-------------------------------|-----------------|------------------|---------------|
| | | □ B. | LIGHTHOUSE RE | AL ESTA | ATE, LLC (AGENT) | |
| | ☐ (43-1 | 49) 12 | 23 WILLIAM STRE | EET | | |
| | Г | \neg A. | WILLIAM & JOHN | N REALT | TY, LLC (OWNER) | |
| | _ | | AM PROPERTY H | | | |
| | (43-1 | 50) 40 |) WORTH | | | |
| | | _ ^ | | H ASSO | CIATES, LLC (AGE | NT) |
| | | | | | MPANY REAL ESTA | , |
| | (43-1 | 51) 12 | 25 WORTH | | | |
| | |]A. | CITY WIDE ADM | INISTRA | TIVE SERVICES (| OWNER) |
| | | | | | | |
| | (43-1 | 52) 20 | 00 LIBERTY STRE | ET (ONE | E WORLD FINANCI | AL CENTER) |
| | | ☐A. | BATTERY PARK | CITY AU | THORITY (OWNER | R) |
| | | B. | BROOKFIELD PR | OPERTII | ES CORPORATION | (OWNER) |
| | | C. | BROOKFIELD FIN | NANCIA | L PROPERTIES, LP | (OWNER) |
| | | D. | BROOKFIELD FIN | NANCIA | L PROPERTIES, IN | C. (OWNER) |
| | |] E. | BROOKFIELD PR | OPERTII | ES HOLDINGS INC | . (OWNER) |
| | | □F. | BROOKFIELD PA | RTNERS | S, LP (OWNER) | |
| | | G. | WFP TOWER A C | O. (<i>OWN</i> | (ER) | |
| | |]H. | WFP TOWER A C | O. L.P. (| OWNER) | |
| | | I | WFP TOWER A. C | O. G.P. 0 | CORP. (OWNER) | |
| | |]J. | TUCKER ANTHO | NY, INC | . (AGENT) | |
| | | □K. | BLACKMON-MO | ORING-S | STEAMATIC CATA | ЅТОРНЕ, |
| | | | INC. d/b/a BMS C | AT (CON | TRACTOR/AGENT) | |
| | (43-1 | 53) 22 | 25 LIBERTY STRE | ET (TWO | O WORLD FINANC | IAL CENTER) |
| | _ ` | _ ′ | | · | JTHORITY (OWNE | ŕ |
| | Г | | | | ES CORPORATION | • |
| | Γ | _ | BROOKFIELD PA | | | , |
| | Г | | | | ES HOLDINGS INC | . (OWNER) |

| Case 1:07-cv-0169 | 4-AKH Document 2-3 Filed 06/29/2007 Page 36 of 46 BROOKFIELD FINANCIAL PROPERTIES, L.P. (OWNER) |
|-------------------------|---|
| □F. | BROOKFIELD FINANCIAL PROPERTIES, INC. (OWNER) |
| \Box G. | MERRILL LYNCH & CO, INC. (OWNER) |
| □H. | WESTON SOLUTIONS, INC. (AGENT/CONTRACTOR) |
| ☐ I. | GPS ENVIRONMENTAL CONSULTANTS, INC. |
| | (AGENT/CONTRACTOR) |
| \Box J. | INDOOR ENVIRONMENTAL TECHNOLOGY, INC. |
| | (AGENT/CONTRACTOR) |
| $\square K$. | BLACKMON-MOORING-STEAMATIC CATASTOPHE, |
| | INC. d/b/a BMS CAT (AGENT/CONTRACTOR) |
| L. | STRUCTURE TONE, (UK) INC. (CONTRACTOR) |
| ☐ M. | STRUCTURE TONE GLOBAL SERVICES, INC |
| | (CONTRACTOR) |
| \square N. | ENVIROTECH CLEAN AIR, INC. (CONTRACTOR) |
| □O. | ALAN KASMAN DBA KASCO (CONTRACTOR) |
| ☐ P. | KASCO RESTORATION SERVICES CO. (CONTRACTOR) |
| $\square Q$. | NOMURA HOLDING AMERICA, INC. (OWNER) |
| \square R. | NOMURA SECURITIES INTERNATIONAL, INC. (OWNER) |
| \square S. | WFP TOWER B HOLDING CO., LP (OWNER) |
| \Box T. | WFP TOWER B CO., G.P. CORP. (OWNER) |
| \Box U. | WFP TOWER B CO. L.P. (OWNER) |
| <u></u> ∇. | TOSCORP. INC. (OWNER) |
| \square W. | HILLMAN ENVIRONMENTAL GROUP, LLC. |
| | (AGENT/CONTRACTOR) |
| $\square X$. | ANN TAYLOR STORES CORPORATION (OWNER) |
| \(\langle (42 \ 154) 2 | 200 VESEY STREET (THREE WORLD FINANCIAL CENTER) |
| _ ` _ ´ | BFP TOWER C CO. LLC. (OWNER) |
| A. □B. | BFP TOWER C MM LLC. (OWNER) |
| | |
| <u> </u> | WFP RETAIL CO. L.P. (OWNER) WFP RETAIL CO. G.P. CORP. (OWNER) |
| D. □E. | AMERICAN EXPRESS COMPANY (OWNER) |
| □ <u>E</u> . □F. | |
| ∟. | AMERICAN EXPRESS BANK , LTD (OWNER) |

| Case | 1.07-64-0169 | G. AMERICAN EXPRESS TRAVEL RELATED SERVICES |
|------|---------------|--|
| | | COMPANY, INC. (OWNER) |
| | ☐H. | LEHMAN BROTHERS, INC. (OWNER) |
| | \Box I. | LEHMAN COMMERCIAL PAPER, INC. (OWNER) |
| | \Box J. | LEHMAN BROTHERS HOLDINGS INC. (OWNER) |
| | <u></u> K. | TRAMMELL CROW COMPANY (AGENT) |
| | \Box L. | BFP TOWER C CO. LLC (OWNER) |
| | $\square M$. | MCCLIER CORPORATION (AGENT) |
| | \square N. | TRAMMELL CROW CORPORATE SERVICES, INC. (AGENT) |
| | □O. | BLACKMON-MOORING-STEAMATIC CATASTOPHE, |
| | | INC. d/b/a BMS CAT (AGENT/CONTRACTOR) |
| | | |
| | (43-155) 2 | 250 VESEY STREET (FOUR WORLD FINANCIAL CENTER) |
| | □A. | BATTERY PARK CITY AUTHORITY (OWNER) |
| | \square B. | BROOKFIELD PROPERTIES CORPORATION (OWNER) |
| | □C. | BROOKFIELD FINANCIAL PROPERTIES, LP. (OWNER) |
| | \Box D. | BROOKFIELD FINANCIAL PROPERTIES, INC. (OWNER) |
| | □E. | BROOKFIELD PROPERTIES HOLDINGS, INC. (OWNER) |
| | □F. | BROOKFIELD PARTNERS, LP (OWNER) |
| | \Box G. | WFP TOWER D CO. L.P. (OWNER) |
| | \Box I. | H.WFP TOWER D CO., G.P. CORP (OWNER). |
| | \Box J. | WFP TOWER D HOLDING I G.P. CORP. (OWNER) |
| | $\square K$. | WFP TOWER D HOLDING CO. I L.P. (OWNER) |
| | \Box L. | WFP TOWER D HOLDING CO. II L.P. (OWNER) |
| | $\square M$. | MERRILL LYNCH & CO, INC. (OWNER) |
| | \square N. | WESTON SOLUTIONS, INC. (CONTRACTOR/AGENT) |
| | ☐ O. | GPS ENVIRONMENTAL CONSULTANTS, INC. |
| | | (CONTRACTOR/AGENT) |
| | <u></u> P. | INDOOR ENVIRONMENTAL TECHNOLOGY, INC. |
| | | (CONTRACTOR/AGENT) |
| | $\square Q$. | BLACKMON-MOORING-STEAMATIC CATASTOPHE, |
| | | NC. d/b/a BMS CAT (CONTRACTOR/AGENT) |
| | \square R. | STRUCTURE TONE, (UK) INC. (CONTRACTOR/AGENT) |
| | \Box s | STRUCTURE TONE GLOBAL SERVICES INC |

| Case 1:07-cv-0169 | | Document 2-3 ACTOR/AGENT) | Filed 06/29/2007 | Page 38 of 46 |
|--|--------------|------------------------------|---|---------------------------|
| Пт. | , | • | R, INC. (CONTRACT | OR/AGENT) |
| □U. | | | SCO (CONTRACTO) | , |
| <u> </u> | | RESTORATION S | , | , |
| | | ACTOR/AGENT) | | |
| (43-156) ZE | N DESTAI | ID A NT | | |
| □ (43-130) ZE | | NEW YORK (OV | WNED) | |
| | CITTOI | NEW TORK (OV | VIVER) | |
| OTHER: if an ind | lividual pla | intiff is alleging in | jury sustained at a bui | lding/location other than |
| as above, and/or if an | individual | plaintiff is allegin | g an injury sustained a | at a building/location |
| above, but is alleging | a claim ag | ainst a particular d | efendant not listed for | said building, plaintiff |
| should check this box | k, and plain | tiffs should follow | the procedure as outli | ned in the CMO #4 |
| governing the filing of | of the Maste | er Complaint and C | Check-off Complaints. | |
| | | V | – VIII. | |
| | | CAUSE | S OF ACTION | |
| 44. Plaintiffs ado Causes of Action | | egations as set for | th in the Master Comp | laint Section V-VIII, |
| 45. Plaintiff(s) se | eks damag | es against the abov | ve named defendants b | ased upon the following |
| theories of liabi | lity, and as | serts each element | necessary to establish | such a claim under the |
| applicable subs | tantive law | : | | |
| | ☐ 45 A. | | endants' duties and obline New York State Lai | _ |
| | ☐ 45 B. | | endants' duties and oblue New York State La | _ |
| | ☐ 45 C. | Common Law Ne | egligence | |
| | ☐ 45 D. | Wrongful Death | | |
| | ☐ 45 E. | Loss of Services/I | Loss of Consortium fo | r Derivative |

| Case 1:07-cv-01694-AKH Other: if an individual plaintiff is alleging an additional cause of action or additional substantive law or theory of law upon which his/or claim is based, other than as appears in this section, plaintiff should check this box, and plaintiffs should follow the procedure as outlined in the CMO # 4 governing the filing of the Master Complaint and Check-off Complaints. | | | |
|---|------------------------|--|--------------------------------|
| ☐ 46. A | As to the following r | municipal entities or public authorities, or o | other entity for which |
| for | which a Notice of C | Claim is a requirement, a Notice of Claim | pursuant to the |
| app | licable statutes as re | eferenced within the Master Complaint, ha | s been timely served on |
| the | following dates. | | |
| | Name of Mu | nnicipal Entity or Public Authority | Date Notice of Claim Served |
| ☐ 46. a | | | |
| 46. b. | | | |
| ☐ 46. c. | | | |
| ☐ 46. d. | | | |
| ☐ 46. e. | | | |
| 46. f. | | | |
| ☐ 46. g. | | | |
| ☐ 46. h. | | | |
| | | | |
| | | | |
| | | | |

| | | horities, if specified as defendants herein, |
|-----------------------------------|--------------------------------|---|
| with reference to the | e service of a Notice of Cla | im, an application has been made to the |
| Supreme Court, Cou | unty of New York (insert | name of Court), as to |
| | (insert name of munici | oal entity or public authority or other |
| entity): | | |
| | 47A. to deem Plain | tiff's (Plaintiffs') Notice of Claim timely |
| | filed, or in the | alternative to grant Plaintiff(s) leave to file |
| | a late Notice of | f Claim Nunc Pro Tunc, and for |
| | | (insert if additional |
| | relief was requ | ested) and: |
| | 47B. a determination | n is pending |
| | _ | ing the petition was made |
| | | (insert date) |
| | _ | ing the petition was made |
| | on: | (insert date) |
| <u>Instructions:</u> If an applic | ation has been made to the | Court with reference to additional |
| municipal entities o | r public authorities, list the | m in sub-paragraph format. |
| [i.e., | (| insert name of municipal entity or public |
| authority or other e | ntity) | |
| | ☐ 47-1A. to de | em Plaintiff's (Plaintiffs') Notice of Claim |
| | timely filed, or in | the alternative to grant Plaintiff(s) leave |
| | to file a late Not | ce of Claim Nunc Pro Tunc, and for |
| | | (insert if additional relief |
| | was requested) a | nd: |
| | ☐ 47-1B. a det | ermination is pending |
| | ☐ 47-1C. an O | rder granting the petition was made |
| | ☐ 47-1D. an O | rder denying the petition was made |
| | on: | (insert date)] |

| ☐ 48.A | s a direct and proximate result of defendant's culpable actions in the clean-up, |
|-------------|--|
| | construction, demolition, excavation, and/or repair operations and all work performed |
| | at the premises, the Injured Plaintiff sustained the following injuries including, but not |
| | limited to: |
| | Abdominal |
| 48-1 | Abdominal Pain Date of onset: Date physician first connected this injury to WTC work: |
| | Cancer |
| <u></u> | Fear of Cancer Date of onset: Date physician first connected this injury to WTC work: |
| <u></u> | Tumor (of the) Date of onset: Date physician first connected this injury to WTC work: |
| <u></u> | Leukemia Date of onset: Date physician first connected this injury to WTC work: |
| 48-5 | Lung Cancer Date of onset: Date physician first connected this injury to WTC work: |
| <u>48-6</u> | Lymphoma Date of onset: Date physician first connected this injury to WTC work: |
| | Circulatory |
| <u>48-7</u> | Hypertension Date of onset: Date physician first connected this injury to WTC work: |
| | Death |
| 48-8 | Death: Date of death: If autopsy performed, date |
| | Digestive |

| Case 1:0 ☐48-9 | 7-cv-01694-AKH Document 2-3 Filed 06/29/2007 Gastric Reflux | Page 42 of |
|-------------------|--|------------|
| _ 6-7 | Date of onset: | |
| | Date physician first connected this injury to WTC work: | |
| <u>48-10</u> | Indigestion | |
| | Date of onset: | |
| | Date physician first connected this injury to WTC work: _ | |
| | Nausea | |
| <u>48-11</u> | Date of onset: | |
| | Date physician first connected this injury to WTC work: _ | |
| | Pulmonary | |
| <u>48-12</u> | Asthma | |
| | Date of onset: Date physician first connected this injury to WTC work: | |
| | Date physician first connected this injury to wife work. | |
| <u></u> 48-13 | Chronic Obstructive Lung Disease Date of onset: | |
| | Date physician first connected this injury to WTC work: _ | |
| 48-14 | Chronic Restrictive Lung Disease | |
| +0-1+ | Date of onset: | |
| | Date physician first connected this injury to WTC work: | |
| <u>48-15</u> | Chronic Bronchitis | |
| | Date of onset: | |
| | Date physician first connected this injury to WTC work: _ | |
| <u>48-16</u> | Chronic Cough | |
| | Date of onset: | |
| | Date physician first connected this injury to WTC work: _ | |
| <u>48-17</u> | Pulmonary Fibrosis | |
| | Date of onset: Date physician first connected this injury to WTC work: | |
| | | |
| <u>48-18</u> | Pulmonary Nodules | |
| | Date of onset: Date physician first connected this injury to WTC work: | |
| 48-19 | Sarcoidosis | |
| | Date of onset: | |
| | Date physician first connect this injury to WTC work | |
| 48-20 | Shortness of Breath | |
| | Date of onset: | |
| | Date physician first connected this injury to WTC work: _ | |
| <u>48-21</u> | Sinusitis | |
| | Date of onset: | |
| | Date physician first connected this injury to WTC work: _ | |

Skin Disorders, Conditions or Disease

| <u></u> 48-22 | Date of onset: Date physician first connected this injury to WTC work: |
|---------------|---|
| <u>48-23</u> | Dermatitis Date of onset: Date physician first connected this injury to WTC work: |
| | Sleep Disorder |
| <u>48-24</u> | Insomnia Date of onset: Date physician first connected this injury to WTC work: |
| <u>48-25</u> | Other: |
| | Date of onset: Date physician first connected this injury to WTC work: |
| <u>48-26</u> | Other: |
| | Date of onset: Date physician first connected this injury to WTC work: |
| <u>48-27</u> | Other: |
| | Date of onset: Date physician first connected this injury to WTC work: |
| <u>48-28</u> | Other: |
| | Date of onset: Date physician first connected this injury to WTC work: |
| 48-29 | Other: |
| 10 2) | Date of onset: |
| | Date physician first connected this injury to WTC work: |
| | ional injuries are alleged, check here and attach Rider continuing with the same sub-paragraphs |
| 49. As a d | lirect and proximate result of the injuries identified above the Injured Plaintiff has in |
| the past | suffered and/or will and/or may, subject to further medical evaluation and opinion, in |
| the futu | re, suffer the following compensable damages: |
| | 49 A. Pain and suffering |
| | 49 B. Death |
| | 49 C. Loss of the pleasures of life |

otherwise alleged.

PRAYER FOR RELIEF

| 51. Plaintiffs adopt those allegations as Prayer for Relief. | set forth in the Master Complaint S | ection IX., | | |
|--|---|--------------------|--|--|
| ☐ 52. OTHER RELIEF: If plaintiff is assemble indicated above, check here and insert Relief | • | | | |
| If plaintiff is asserting monetary r | elief in amounts different than as | alleged within the | | |
| Master Complaint, Check this box and | fill in the WHEREFORE clause bel | ow: | | |
| WHEREFORE, the above-named Plain | ntiff demands judgment against | the above-named | | |
| Defendants in the amount of | DOLLARS (\$ |), on the First | | |
| Cause of Action; and in the amount of _ | DOLLARS (\$ |) on | | |
| the Second Cause of Action; and in the an | nount of DOLLARS | s (\$) on | | |
| the Third Cause of Action; and Derivative Plaintiff demands judgment against the above named | | | | |
| Defendants in the amount of | DOLLARS (\$) on | the Fourth Cause | | |
| of Action; and Representative Plaintiff demands judgment against the above named Defendants | | | | |
| in the amount of (\$ |) on the Fifth Cause of | Action, and as to | | |
| all Demands for Relief, and or as determined by a Jury or this Court, jointly and severally, for | | | | |
| general damages, special damages, and for his/her attorneys' fees and costs expended herein and | | | | |
| in a non-specified amount to be determined by a Jury or this Court for punitive and exemplary | | | | |
| damages, and for prejudgment interest where allowable by law and post judgment interest on the | | | | |
| judgment at the rate allowed by law; and Plaintiff seeks such other relief as is just and equitable. | | | | |
| | | | | |
| | Х. | | | |
| <u>J</u> | URY TRIAL DEMAND | | | |
| 53. Plaintiffs adopt those allegations as Trial Demand. | set forth in the Master Complaint S | ection X, Jury | | |
| If Riders are annexed check the applicable | BOX indicating the paragraphs for v | which Riders are | | |
| annexed. | | | | |

| Case 1:07-cv-01694-A | AKH Document 2-3 aragraph 31 | Filed 06/29/2007 | Page 46 of 46 |
|--------------------------|------------------------------|-------------------------------|----------------------------|
| ☐ Pa | nragraph 44 | | |
| ☐ Pa | nragraph 48 | | |
| | | | |
| WHEREFORE, plaintif | ff(s) respectfully pray that | the Court enter judgm | ent in his/her/their favor |
| and against defendant(s) | for damages, costs of sui | t and such other, furthe | r and different relief as |
| may be just and appropri | ate. | | |
| Dated: New York, New | | | |
| | | Yours, etc. | |
| | | (Insert Firm Name) | |
| | | By: | |
| | | Attorneys for Plaintiffs | |
| | | Office and PO Address Tel: | |
| | | Fax: | |
| | | Email: | |